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1 pillows and her feet in the air, chewing on her  
2 tongue.

3 She does have some days in which she does  
4 better, according to Mom, that she's described as  
5 that she's fine. But other days she will not listen  
6 to what Emily says and will not obey her, or she'll  
7 do things that she knows are not right. And Mom  
8 gave the example of taking her brother on a scooter  
9 ride around the base, which is, you know, obviously  
10 dangerous for a young child.

11 She will take out scissors and cut things  
12 with them randomly, sort of impulsively. So they  
13 don't let her use the -- use the scissors unless  
14 she's calm. They hide markers because she'll --  
15 otherwise, she'll write places she's not supposed  
16 to.

17 Mother reported when I asked her her  
18 symptoms -- I gave her also a Vanderbilt -- I asked  
19 her the questions on the Vanderbilt, actually, and  
20 Mother reported that Estella has -- often has  
21 difficulty with -- let's see -- fidgeting or  
22 squirming in her seat, acting as if driven by a  
23 motor, losing things necessary for tasks or  
24 activities, blurting out answers before questions  
25 have been completed, and is easily distracted.

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1                 She also often has trouble awaiting her  
2 turn, talks too much, avoids tasks that require  
3 sustained mental effort, has trouble playing  
4 quietly, does not follow through on instructions,  
5 runs about at inappropriate times, does not seem to  
6 listen when spoken to directly, leaves her seat when  
7 she's not supposed to, and has difficulty sustaining  
8 attention in tasks -- or to tasks or play  
9 activities.

10                She also -- Mother also reported that  
11 Estella is -- is often loud, she likes to test  
12 limits, she'll use words that she's not supposed to  
13 use. When angry, she -- she threatened her mother,  
14 she threatened to put chemicals in her mother's  
15 pillow. She talks back to both parents. And this  
16 was confirmed by Father.

17                She is -- was described as often losing her  
18 temper; defying or refusing to go along with her  
19 parents' requests; deliberately annoying people; as  
20 being touchy or easily annoyed; as bullying or  
21 intimidating others; and as lying to get out of  
22 trouble or avoid obligations.

23                She also was described by Silas as being,  
24 in his words, "borderline obsessive compulsive." He  
25 gave some examples of the behaviors that he felt

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1       sentences and was intelligible. She was  
2       cooperative. She wasn't shy. Her mood was bright  
3       and her affect was -- was congruent.

4                 She didn't, you know, express any sort of  
5       bizarre kinds of thoughts or -- or anything like  
6       that. We played together briefly, and her play  
7       themes were all what would be considered normal for  
8       a child her age. And she interacted appropriately  
9       with her baby sisters.

10               She put away her toys after using them.  
11          And I did observe her mother ask her to do a couple  
12       of things. And on those occasions when I was  
13       watching, she, in fact, did do what she was told.

14               Q.    Okay. So Dr. Elwyn, based on your -- and  
15       thank you -- your extensive review of the records,  
16       your exam, the interviews that you've done with the  
17       parents and the -- the teachers, and your  
18       observations of the child, do you have an opinion,  
19       to a reasonable degree of medical certainty, as to  
20       whether Estella has any psychiatric disorders?

21               A.    Yes, I do.

22               Q.    And what is that opinion?

23               A.    Well, my opinion is that she does have some  
24       psychiatric disorders. And I will use the DSM-IV  
25       classification to outline those.

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1           In psychiatry, of course, we talk about  
2       Axis I diagnoses being sort of the primary focus of  
3       concern and then, you know, Axis II sometimes being  
4       a focus if -- if there's a personality disorder or  
5       mental retardation. But primarily we look at Axis I  
6       as indicating the psychiatric pathology.

7           Based upon my review, I believe that she  
8       has Attention-Deficit/Hyperactivity Disorder,  
9       Predominantly Hyperactive-Impulsive Type. And I  
10      also believe that she has Oppositional Defiant  
11      Disorder. And finally, she has a history of an  
12      Anxiety Disorder, but I don't -- but I would label  
13      that as "Prior History" because I don't think at  
14      this point in time it's of -- an issue of clinical  
15      concern.

16           So the bases for my opinion that she has  
17       ADHD are based upon, as I said, my review of the --  
18       of the records, the -- you know, the -- the  
19       narrative conveyed by both the parents, and also the  
20       evidence from numerous observations from different  
21       parties that -- regarding her behavior, so that it's  
22       not just only based on what the parents have said  
23       but also things like the Conners' Rating Scale by  
24       the preschool teacher and the various behaviors  
25       observed by various parties.

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1           So if we look at the diagnosis of ADHD and  
2 what's required for it, if we're looking at the  
3 hyperactive-impulsive type, then we look at having  
4 six or more symptoms that are of the  
5 hyperactivity-impulsivity spectrum.

6           And these are things like, you know,  
7 fidgeting with hands or feet or squirming in seat,  
8 leaving seat in classroom or in other situations in  
9 which seating -- or remaining seated is -- is  
10 expected, running or climbing about excessively  
11 where it's inappropriate, having difficulty playing  
12 or engaging in leisure activities quietly, being on  
13 the go, talking incessively, you know, blurting out  
14 answers, having difficulty waiting her turn, or  
15 interrupting or intruding on others.

16           So I -- I believe that the review of the  
17 records and -- and -- and the information conveyed  
18 to me by the family suggests that she has had the --  
19 she has met criteria for this type of ADHD.

20           And there are some other criteria as well,  
21 and she's also met those. Those being that the  
22 symptoms were present before age seven years, which  
23 is -- is, of course, the case; and that there has  
24 been impairment from the systems in two or more  
25 settings, such as at school or work and at home; and

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1 that there is evidence of clinically significant  
2 impairment in social, academic, or occupational  
3 functioning; and that the symptoms do not occur  
4 exclusively during the course of a pervasive  
5 developmental disorder, schizophrenia, or other  
6 psychotic disorder, and are not accounted for by  
7 another mental disorder.

8               Okay. So we know that parents have  
9 described her as -- by the age of 20 months as being  
10 very energetic. When she was in preschool, she had  
11 difficulty sitting in a group during structured  
12 time, she ran around in circles. She was described  
13 as impulsive and distractible. And it's also noted  
14 that this behavior appeared to interfere with her  
15 learning at -- even at that early age.

16               The -- the report by Dr. Urion, again,  
17 notes that she has several -- several behavioral  
18 issues related to impulsivity, inattention, and  
19 hyperactivity that are also seen in children with  
20 this history of neurological injury.

21               Dr. Marumoto, again, described the  
22 behaviors that she saw, things like constantly  
23 fidgeting, swaying side to side, touching lips with  
24 fingers, touching her hair, being easily distracted  
25 during the group, and was noted to have ADHD

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1       features of distractibility and impulsivity that may  
2       be treated.

3                 And then finally, Dr. Robert Pedersen, the  
4       pediatric neurologist at Tripler, also observed ADHD  
5       symptoms with impulsivity, inattentiveness, and some  
6       hyperactivity.

7                 Okay. So those, along with the information  
8       supplied by Mrs. -- Ms. Kim, the preschool teacher,  
9       are suggestive that certainly at that period of time  
10      she had these symptoms, and they were impacting her  
11      functioning at school.

12                And so in my original report, I indicated  
13      that -- there's also some information from -- from  
14      the school regarding her behaviors and why she was  
15      made eligibility for special education. So -- so  
16      based upon those factors, I felt it was clear that  
17      she met criteria.

18                When I spoke with her -- with her  
19      kindergarten teacher, Ms. Greenamyer, Ms. Greenamyer  
20      indicated that she wasn't having much in terms of  
21      impairment at school. And so I added the qualifying  
22      statement that her ADHD was "In Partial Remission"  
23      to my diagnosis.

24               Q. Now, that -- that was back in the spring of  
25      '06. But I take it you now feel -- your opinion is

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1 now that it is not in partial remission and that she  
2 does meet all the criteria for  
3 Attention-Deficit/Hyperactivity Disorder; correct?

4 A. Yes, that's correct. You know, the new  
5 evidence suggests that it's likely that it's -- it's  
6 no longer in partial remission and that that  
7 qualifier -- that that qualifier no longer applies.

8 I ordinarily would like to speak with the  
9 teacher myself to get a little more thorough  
10 understanding. But -- but based upon what I have  
11 before me, I would say that it's -- it's fair to say  
12 that her ADHD has not abated and that it -- it  
13 continues, and continues to be a problem.

14 Q. Now, do you have an opinion, to a  
15 reasonable degree of medical certainty, as to  
16 whether Estella's injuries, her injuries to her  
17 brain in neonatal period -- and in particular, the  
18 intraventricular hemorrhage, the seizures, the  
19 thalamic hemorrhagic infarction, the dural vein  
20 thrombosis -- do you have an opinion to a reasonable  
21 degree of medical certainty as to whether these  
22 neonatal injuries were a substantial contributing  
23 factor in causing her  
24 Attention-Deficit/Hyperactivity Disorder?

25 MR. GIEDT: Objection, for the record.

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1 Motion to strike. In accordance with  
2 Rule 26(a)2(B), the expert report prepared and  
3 signed by the witness shall -- shall include a  
4 statement of all opinions to be expressed and the  
5 basis of reasons therefore, the data or other  
6 information considered by the witness in forming  
7 their opinions.

8 And while you've done that for ADHD, the  
9 report that you've turned over really has no basis  
10 or opinion for any -- no basis or conclusion of what  
11 you considered for determining what your opinion  
12 about the relationship and causal relationship  
13 between the cerebral issues were.

14 Q. BY MR. APPEL: You may -- you may continue.

15 A. Okay. If I recollect your question, it was  
16 do I have an opinion regarding causation of her  
17 ADHD.

18 Q. Yes.

19 A. Yes. And, yes, I do have an opinion.

20 Q. And what is that?

21 A. My opinion is that the injury that she  
22 suffered, the neurological or brain injury that she  
23 suffered after birth, is an important contributing  
24 factor to the development of her ADHD.

25 Q. And could -- could you just briefly give

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1 the basis for that.

2 A. Yes.

3 MR. GIEDT: Objection. And motion to  
4 strike. It's nowhere in the report.

5 MR. APPEL: We --

6 THE WITNESS: Sure. Yes.

7 The basis for that is -- there are a couple  
8 of bases for that.

9 The first basis for that is that, you know,  
10 it's clear that -- that everyone who has evaluated  
11 this child has expressed concern that, you know,  
12 this -- that -- that ADH- -- well, not everyone has  
13 expressed -- let me start again -- that at least  
14 Dr. Urion, the behavioral neurologist had, you know,  
15 stated that this is the kind of -- you know, ADHD  
16 symptoms are the kinds of things that you would see  
17 in a child who suffers this kind of -- this kind of  
18 brain injury, would be one basis.

19 A little more specifically, although I'm  
20 not -- you know, I'm not a researcher, I'm a  
21 clinician. Still, I've reviewed the literature to  
22 some extent. And my understanding is that children  
23 who experience intraventricular hemorrhage are at  
24 increased risk for ADHD. I think there's -- there's  
25 literature out there that supports that.

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1                   And -- and finally, you know, causation  
2       with regard to ADHD is -- is kind of difficult  
3       because we can't say with certainty what causes  
4       ADHD. So to say something -- you know, for me to --  
5       to say with any, you know, definiteness, I guess,  
6       would -- would not be true. But based upon our  
7       current conceptualization of ADHD, I'd say it's more  
8       likely than not that -- that this was important.

9                   And -- and the final part would be that  
10      the -- that the -- the stroke or the -- you know,  
11      the infarction to the right thalamus also would  
12      place her at more risk for developing ADHD just  
13      because the -- the thalamus is -- is involved  
14      intimately in sort of the neurocircuitry that  
15      sustains attention and suppresses competing -- you  
16      know, competing -- things that compete for our  
17      attention, and a stroke in that area could be quite  
18      significant.

19                  And so I think for all of those reasons  
20      that it's more likely than not that it's an  
21      important contributing factor to the development of  
22      ADHD.

23                  Q. BY MR. APPEL: In terms of the other  
24      etiological factors -- and I know you've already  
25      gone through those things, like family history and

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1 whether there's any genetic predisposition -- did  
2 you find anything in the -- those potential  
3 etiologies to be contributing here in any  
4 significant way?

5 A. Right. So our current conceptualization of  
6 ADHD is that it is a highly -- it's a disorder  
7 that's very much genetically linked, or it has a  
8 high heritability index; it is very common for  
9 parents to have -- who have ADHD, for -- for those  
10 children to have ADHD and for -- for children who  
11 have ADHD to have parents who have had ADHD. And we  
12 know that that's -- that accounts for a substantial  
13 percentage of the variance.

14 In my review, I was not able to come up  
15 with any genetic influences that would -- were  
16 playing a role here. There was no family history on  
17 either side that suggested there was -- that ADHD  
18 was present for either parent.

19 And so when you -- when you don't have  
20 something like that, then you look to other sort of  
21 biological causes that are specific to the  
22 individual -- the individual person to find what  
23 might be, you know, sort of causative of the  
24 condition.

25 And so, yeah -- so those things are things

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1 like, you know, use of drugs or alcohol by the  
2 mother during the pregnancy, tobacco smoking during  
3 the pregnancy, various problems with the birth,  
4 things of that nature. And those were all absent.  
5 In fact, there was no other sort of biologically  
6 based contributing factor that I could find outside  
7 of this rather significant brain -- brain injury.

8 VIDEO OPERATOR: Excuse me. Counsel?

9 MR. APPEL: Yes.

10 VIDEO OPERATOR: We have about five minutes  
11 left on this tape.

12 MR. APPEL: Okay. Do you have another  
13 tape?

14 VIDEO OPERATOR: Yeah. Sure do. Do you  
15 want to change it now?

16 MR. APPEL: Okay.

17 Yeah. Why don't we change it now.

18 VIDEO OPERATOR: Okay.

19 We are off record at 2:19.

20 This marks the end of videotape number one.

21 (Break taken in proceedings.)

22 VIDEO OPERATOR: This marks the beginning  
23 of videotape number two of the deposition of  
24 Todd Elwyn, M.D., held at 980 Ninth Street in  
25 Sacramento, California, on March 31st, 2007, in the